Receipt date: 11/02/2009 Page 1 of 22

Nov

INFORMATION
DISCLOSURE

OTP STATEMENT

Atty. Docket No.: P0035365.03 | Serial No.: 10/772,101 |
Applicant(s): Seguin et al.

Filing Date: 02-04-2004 Group: 3774

U.S. PATENT DOCUMENTS Document Number Filing Date If Class SubClass Appropriate 3 334 629 08-08-1967 Cohn 3,540,431 11-17-1970 Mobin-Uddin 3 628 535 12-21-1971 Ostrowsky et al 3,642,004 02-15-1972 Osthagen et al 3.795.246 03-05-1974 Sturgeon 3,839,741 10-08-1974 Haller 3,868,956 03-04-1975 Alfidi et al 3,874,388 04-01-1975 King et al. 4,233,690 Akins 11-18-1980 4.265,694 05-05-1981 Boretos 4,291,420 09-29-1981 Reul 4,345,340 08-24-1982 Rosen 4,425,908 01-17-1984 Simon 4,501,030 02-26-1985 Lane 4,580,568 04-08-1986 Gianturco 4.610.688 09-09-1986 Silvestrini et al 4,647,283 03-03-1987 Carpentier et al 4,648,881 03-10-1987 Carpentier et al 4.662.885 05-05-1987 DiPisa, Jr. 4.665.906 05-19-1987 Jervis 4.681.908 07-21-1987 Broderick et al. 4,710,192 12-01-1987 Liotta et al 4,819,751 04-11-1989 Shimada et al 4,834,755 05-30-1989 Silvestrini et al 4,856,516 08-15-1989 Hillstead 4,872,874 10-10-1989 Taheri

EXAMINER

4.878,495

4.909.252

4.917.102

4,922,905

4.954.126

Date Considered

Grayzel

Goldberger

Miller et al

Strecker

Wallsten

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

11-07-1989

03-20-1990

04-17-1990

05-08-1990

09-04-1990

Based on Form PTO-FB-A820 (Also form PTO-1449)

INFO	RMATION	Atty. Docket	No.: P0035365.03	Serial	No.: 10/7	72,101
DISC	CLOSURE					
STA	TEMENT	Applicant(s)	: Seguin et al.			
		Filing Date:	02-04-2004	Group	p: 3774	
,						
	T		NT DOCUMENTS			
Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date I Appropriate
	5,002,559	03-26-1991	Tower	1	Ĭ	
	5,032,128	07-16-1991	Alonso			
	5,037,434	08-06-1991	Lane			
	5,061,273	10-29-1991	Yock			
	5,161,547	11-10-1992	Tower			
	5,217,483	07-08-1993	Tower			
	5,232,445	08-03-1993	Bonzel			
	5,272,909	12-28-1993	Nguyen et al.			
	5,327,774	07-12-1994	Nguyen et al.		<u> </u>	
	5,350,398	09-27-1994	Pavcnik et al			
	5,389,106	02-14-1995	Tower			
	5,415,633	05-16-1995	Lazarus et al.			
	5,431,676	07-11-1995	Dubrul et al		<u> </u>	
	5,489,294	02-06-1996	McVenes et al.			
	5;489,297	02-06-1996	Duran	+	1	
	5,496,346	03-05-1996	Horzewski et al.			
	5,500,014	03-19-1996	Quijano et al.		-	
	5,507,767	04-16-1996	Maeda et al	-		
	5,545,211	08-13-1996	An et al	-		
	5,575,818	11-19-1996	Pinchuk			
	5,580,922	12-03-1996	Park et al.			
	5,591,195	01-07-1997	Taheri et al.			
	5,609,626	03-11-1997	Quijano et al.	1		
	5,645,559	07-08-1997	Hachtman et al	1		
	5,665,115	09-09-1997	Cragg			
	5,667,523	09-16-1997	Bynon et al	-		
	5,674,277	10-07-1997	Freitag	-		
	5,695,498	12-09-1997	Tower		_	
	5,702,368	12-30-1997	Stevens et al.	_		
	5,713,953	02-03-1998	Vallana et al	 		
	5,716,417	02-10-1998	Girard et al.	+		
	5,749,890	05-12-1998	Shaknovich	+		
XAMINE		1770	Date Considered			

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820 (Also form PTO-1449)

Receipt date: 11/02/2009 Page 3 of 22

> INFORMATION DISCLOSURE STATEMENT

Atty. Docket No.: P0035365.03 | Serial No.: 10/772,101

Applicant(s): Seguin et al.
Filing Date: 02-04-2004 Group: 3774

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	5,766;151	06-16-1998	Valley et al.			
	5,782,809	07-21-1998	Umeno et al.			
	5,800,456	09-01-1998	Maeda et al			
	5,800,508	09-01-1998	Goicoechea et al.			
	5,817,126	10-06-1998	Imran			
	5,824,043	10-20-1998	Cottone Jr.		1	
	5,824,053	10-20-1998	Khosravi et al			
	5,824,056	10-20-1998	Rosenberg			
	5,824,041	10-20-1998	Lenker			
	5,824,061	10-20-1998	Quijano et al.			
	5,824,064	10-20-1998	Taheri			
	5,843,158	12-01-1998	Lenker et al.			
	5,855,601	01-05-1999	Bessler et al.			
	5,860,996	01-19-1999	Tower			
	5,861,028	01-19-1999	Angell			
	5,868,783	02-09-1999	Tower			
	5,876,448	03-02-1999	Thompson et al			
	5,888,201	03-30-1999	Stinson et al			
	5,891,191	04-06-1999	Stinson			
	5,906,619	05-25-1999	Olson et al.			
	5,907,893	06-01-1999	Zadno-Azizi et al			
	5,913,842	06-22-1999	Boyd et al			
	5,944,738	08-31-1999	Amplatz et al			
	5,954,766	09-21-1999	Zadno-Azizi et al			
	5,968,068	10-19-1999	Dehdashtian et al.			
	5,984,957	11-16-1999	Laptewicz, Jr. et al			
	5,997,573	12-07-1999	Quijano et al.		Ī	
	6,022,370	02-08-2000	Tower			
	6,029,671	02-29-2000	Stevens et al.			
	6,042,589	03-28-2000	Marianne			
	6,042,598	03-28-2000	Tsugita et al			
	6,051,104	04-18-2000	Jang			
	6,059,809	05-09-2000	Amor et al.			

EXAMINER Date Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820

Pattern and Trademark Office, U.S. Department of Commerce
Also form PTO-FB-A820

Atty. Docket No.: P0035365.03 INFORMATION Serial No.: 10/772,101 DISCLOSURE STATEMENT Applicant(s): Seguin et al. Filing Date: 02-04-2004 Group: 3774 U.S. PATENT DOCUMENTS Examiner Document Number Class SubClass Filing Date If Initial Appropriate 6,110,201 08-29-2000 Ouijano et al. 6.146.366 11-14-2000 Schachar 6.159.239 12-12-2000 Greenhalgh 6,162,208 12-19-2000 Hipps 6.162.245 12-19-2000 Jayaraman 6,171,335 01-09-2001 Wheatley et al. 6.200.336 03-13-2001 Pavcnik et al 6,203,550 03-20-2001 Olson 6,210,408 04-03-2001 Chandrasekaran et al. 6,218,662 04-17-2001 Tchakarov et al. 6,221,006 04-24-2001 Dubrul et al 6,241,757 06-05-2001 An et al 6,258,114 07-10-2001 Konva et al 6,258,115 07-10-2001 Dubrul 6,258,120 07-10-2001 McKenzie et al 6.277.555 08-21-2001 Duran et al 6,302,906 10-16-2001 Goicoechea et al 6,309,382 10-30-2001 Garrison et al. 6.309.417 10-30-2001 Spence et al 6,327,772 12-11-2001 Zadno-Azizi et al. 6,338,735 01-15-2002 Stevens 6.348.063 02-19-2002 Yassour et al 6,350,277 02-26-2002 Kocur 6,352,708 03-05-2002 Duran et al 6,371,970 04-16-2002 Khosravi et al 6,371,983 04-16-2002 Lane 6,379,383 04-30-2002 Palmaz et al 6,380,457 04-30-2002 Yurek et al. 6.398.807 06-04-2002 Chouinard et al 6,409,750 06-25-2002 Hyodoh et al 6,468,303 10-22-2002 Amplatz et al

.

EXAMINER

6,475,239

Campbell et al Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

11-05-2002

Based on Form PTO-FB-A820 (Also form PTO-1449)

Receipt date: 11/02/2009 Page 5 of 22

e 5 01 22		
INFORMATION	Atty. Docket No.: P0035365.03	Serial No.: 10/772,101
DISCLOSURE		
STATEMENT	Applicant(s): Seguin et al.	
	Filing Date: 02-04-2004	Group: 3774

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
1	6,488,704	12-03-2002	Connelly et al.	· · · · · ·		
	6,494,909	12-17-2002	Greenhalgh			
	6,503,272	01-07-2003	Duerig et al			
	6,508,833	01-21-2003	Pavcnik et al			
	6,527,800	03-04-2003	McGuckin, Jr. et al		1	
	6,530,949	03-11-2003	Konya et al		·	
	6,530,952	03-11-2003	Vesely			
	6,562,031	05-13-2003	Chandrasekaran et al.			
	6,562,058	05-13-2003	Seguin et al			
	6,569,196	05-27-2003	Vesely			
	6,585,758	07-01-2003	Chouinard et al.			
	6,592,546	07-15-2003	Barbut et al			
	6,605,112	08-12-2005	Moll et al.			
	6,613,077	09-02-2003	Gilligan et al.			
	6,622,604	09-23-2003	Chouinard et al	-		
	6,632,243	10-14-2003	Zadno-Azizi et al			
	6,635,068	10-21-2003	Dubrul et al			
	6,652,571	11-25-2003	White et al			
	6,656,213	12-02-2003	Solem			,
	6,663,663	12-16-2003	Kim et al			
	6,669,724	12-30-2003	Park et al			
	6,673,089	01-06-2004	Yassour et al			
	6,673,109	01-06-2004	Cox			
	6,676,698	01-13-2004	McGuckin Jr. et al.			
	6,682,558	01-27-2004	Tu et al			
	6,682,559	01-27-2004	Myers et al			
	6,685,739	02-03-2004	DiMatteo et al			
	6,689,144	02-10-2004	Gerberding	-		
	6,689,164	02-10-2004	Seguin			
	6,692,512	02-17-2004	Jang			
	6,692,513	02-17-2004	Streeter et al.			
	6,695,878	02-24-2004	McGuckin Jr. et al.			
	6,702,851	03-09-2004	Chinn et al			

| 6,702,851 | 03-09-2004 | Chinn et al | ...

EXAMINER | Date Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-8-80.

Pattern and Trachems's Office, U.S. Department of Commerce (Also form PTO-1449)

Atty. Docket No.: P0035364.03 INFORMATION Serial No.: 10/772.101 DISCLOSURE STATEMENT Applicant(s): Seguin et al. Filing Date: 02-04-2004 Group: 3774 U.S. PATENT DOCUMENTS Examine Document Number Filing Date If Class SubClass Initial Appropriate 6 719 789 04-13-2004 Cox 6.730.118 05-04-2004 Spenser et al 6.730.377 05-04-2004 Wang 6,733,525 05-11-2004 Yang et al 05-18-2004 6.736.846 Cox 6,752,828 06-22-2004 Thornton 6,758,855 07-06-2004 Fulton, III et al 6,769,434 08-03-2004 Liddicoat et al 6,786,925 09-07-2004 Schoon 6,790,229 09-14-2004 Berreklouw 6,792,979 09-21-2004 Konya et al 6.797.002 09-28-2004 Spence 6.821.297 11-23-2004 Snyders 6,830,575 12-14-2004 Stenzel et al 6,830,584 12-14-2004 Seguin 6,830,585 12-14-2004 Artof 6.846.325 01-25-2005 Liddicoat 6.866 650 03-15-2005 Stevens 6.872.223 03-29-2005 Roberts 6.875.231 Anduiza et al. 04-05-2005 6,883,522 04-26-2005 Spence et al. 6.887.266 05-02-2005 Williams et al 6.890.330 05-10-2005 Streeter et al 6,893,460 05-17-2005 Spenser et al. 6,896,690 05-24-2005 Lambrecht et al 6.908,481 06-21-2005 Cribier 6.913.600 07-05-2005 Valley et al. 6 929 653 08-16-2005 Streeter 6,936,066 08-30-2005 Palmaz et al 6,939,365 09-06-2005 Fogarty et al. 6.951.571 10-04-2005 Srivastava

EXAMINER Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820 (Also form PTO-1449)

Receipt date: 11/02/2009 Page 7 of 22

Atty. Docket No.: P0035365.03 INFORMATION Serial No.: 10/772,101 DISCLOSURE STATEMENT Applicant(s): Seguin et al. Filing Date: 02-04-2004 Group: 3774 U.S. PATENT DOCUMENTS Examiner Document Number Class SubClass Filing Date 16 Initial Appropriate 6,986,742 01-17-2006 Hart et al. 6.989.027 01-24-2006 Allen et al 6,989,028 01-24-2006 Lashinski et al. 6,991,649 01-31-2006 Sievers 7.018.401 03-28-2006 Hyodoh et al. 7,041,128 05-09-2006 McGuckin Ir et al 7.044.966 05-16-2006 Svanidze et al 05-23-2006 7,048,014 Hvodoh et al. 7,097,659 08-29-2006 Woolfson et al. 7,101,396 09-05-2006 Artof et al 7.105.016 09-12-2006 Shui et al. 7 115 141 10-03-2006 Menz et al 7,147,663 12-12-2006 Berg et al. 7,153,324 12-26-2006 Case et al. 7,160,319 01-09-2007 Chouinard et al 7.175.656 02-13-2007 Khairkhahan 7,186,265 03-06-2007 Sharkawy et al. 7,195,641 03-27-2007 Palmaz et al. 7.198.646 04-03-2007 Figulla et al. 7,201,761 04-10-2007 Woolfson et al. 7,201,772 04-10-2007 Schwammenthal et al. 7.252.682 08-07-2007 Seguin 7,300,457 11-27-2007 Palmaz 7,300,463 11-27-2007 Liddicoat 7,316,706 01-08-2008 Bloom et al. 7.329,278 02-12-2008 Seguin 7.335,218 02-26-2008 Wilson et al. 7,338,520 03-04-2008 Bailey et al 7.374.571 05-20-2008 Pease et al. 7.381.218 06-03-2008 Shreck 7,384,411 06-10-2008 Condado 7,429,269 09-30-2008 Schwammenthal et al. EXAMINER Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820 (Also form PTO-1449)

Atty. Docket No.: P0035365.03 INFORMATION Serial No.: 10/772,101 DISCLOSURE STATEMENT Applicant(s): Seguin et al. Filing Date: 02-04-2004 Group: 3774 U.S. PATENT DOCUMENTS Examiner Document Number Class SubClose Filing Date If Initial Appropriate 7 442 204 10-28-2008 Schwammenthal et al. 7,462,191 12-09-2008 Spenser et al. 7,470,284 12-30-2008 Lambrecht et al. 7,481,838 01-27-2009 Carpentier et al. 7.544.206 06-09-2009 Cohn et al 7,556,646 07-07-2009 Yang et al. 2001/0001314 05-17-2001 Davison et al. 2001/0011189 08-02-2001 Drasler et al. 2001/0021872 09-13-2001 Bailey et al. 2001/0025196 09-27-2001 Chinn et al 2001/0032013 10-18-2001 Marton 2001/0039450 11-08-2001 Pavcnik et al 2001/0041928 11-15-2001 Payenik et al 2001/0044647 Pinchuk et al. 11-22-2001 2002/0010508 01-24-2002 Chobotov 2002/0029014 03-07-2002 Jayaraman 2002/0032480 03-14-2002 Spence et al 2002/0035396 03-21-2002 Heath 2002/0042650 04-11-2002 Vardi et al. 2002/0052651 05-02-2002 Myers et al 2002/0072789 06-13-2002 Hackett et al.

2002/0133183 09-19-2002 Lentz et al. 2002/0161392 10-31-2002 Dubrul 2002/0161394 10-31-2002 Macoviak et al 2002/0193871 12-19-2002 Beversdorf et al 2003/0014104 01-16-2003 Cribier 2003/0023300 01-30-2003 Bailey et al.

EXAMINER

2002/0095209

2002/0099439

2002/0103533

2002/0107565

2002/0111674

2002/0123802

07-18-2002

07-25-2002

08-01-2002

08-08-2002

08-15-2002

09-05-2002

Date Considered

Zadno-Azizi et al

Schwartz et al.

Langberg et al.

Chouinard et al

Greenhalgh

Snyders

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Based on Form PTO-FR-A820 Patent and Trademark Office, U.S. Department of Commerce (Also form PTO-1449)

10772101 - GAU: 3774 Atty. Docket No.: P00 Serial No.: 10/772,101

DISCLOSURE STATEMENT Applicant(s): Seguin et al.

Filing Date: 02-04-2004

U.S. PATENT DOCUMENTS

Group: 3774

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	2003/0028247	02-06-2003	Cali			
	2003/0036791	02-20-2003	Bonhoeffer et al			
	2003/0040771	02-27-2003	Hyodoh et al			
	2003/0040772	02-27-2003	Hyodoh et al			
	2003/0040792	02-27-2003	Gabbay			
	2003/0050694	03-13-2003	Yang et al.			
	2003/0055495	03-20-2003	Pease et al			
	2003/0065386	04-03-2003	Weadock			
	2003/0069492	04-10-2003	Abrams et al.			
	2003/0109924	06-12-2003	Cribier		T	
	2003/0125795	07-03-2003	Pavcnik et al			
	2003/0130726	07-10-2003	Thorpe et al.			
	2003/0130729	07-10-2003	Paniagua et al.			
	2003/0139804	07-24-2003	Hankh et al.			
	2003/0149475	08-07-2003	Hyodoh et al			
	2003/0149476	08-07-2003	Damm et al			
	2003/0149478	08-07-2003	Figulla et al			
	2003/0153974	08-14-2003	Spenser et al			
	2003/0181850	09-25-2003	Diamond et al			
	2003/0191519	10-09-2003	Lombardi et al.			
	2003/0199913	10-23-2003	Dubrul et al			
	2003/0199963	10-23-2003	Tower et al.			
	2003/0199971	10-23-2003	Tower, et al			
	2003/0199972	10-23-2003	Zadno-Azizi et al			
	2003/0212410	11-13-2003	Stenzel et al.			
	2003/0212452	11-13-2003	Zadno-Azizi et al			
	2003/0212454	11-13-2003	Scott et al			-
	2004/0034411	02-19-2004	Quijano et al			
	2004/0039436	02-26-2004	Spenser et al			
	2004/0049224	03-11-2004	Buehlmann et al			
	2004/0049262	03-11-2004	Obermiller et al			
	2004/0049266	03-11-2004	Anduiza et al			
	2004/0082904	04-29-2004	Houde et al			

EXAMINER Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820 (Also form PTO-1449)

Rece**Rate 10-01-21**/02/2009 10772101 - GAU: 3774

INFORMATION DISCLOSURE STATEMENT Atty. Docket No.: P0035365.03 Serial No.: 10/772,101

Applicant(s): Seguin et al.

Filing Date: 02-04-2004 Group: 3774

U.S. PATENT DOCUMENTS

		U.S. PATEN	NT DOCUMENTS			
Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	2004/0088045	05-06-2004	Cox			
	2004/0093005	05-13-2004	Durcan			
	2004/0093060	05-13-2004	Sequin et al.			
	2004/0097788	05-20-2004	Mourles et al.			
	2004/0098112	05-20-2004	DiMatteo et al			
	2004/0106976	06-03-2004	Bailey et al.		1	
	2004/0106990	06-03-2004	Spence et al.			
	2004/0111096	06-10-2004	Tu et al			
	2004/0116951	06-17-2004	Rosengart			
	2004/0117004	06-17-2004	Osborne et al			
	2004/0122468	06-24-2004	Yodfat et al			
	2004/0122516	06-24-2004	Fogarty			
	2004/0127979	07-01-2004	Wilson			
	2004/0138742	07-15-2004	Myers et al			
	2004/0138743	07-15-2004	Myers et al			
	2004/0153146	08-05-2004	Lashinski et al.			
	2004/0167573	08-26-2004	Williamson			
	2004/0167620	08-26-2004	Ortiz			
	2004/0186563	09-23-2004	Iobbi			
	2004/0193261	09-30-2004	Berreklouw			
	2004/0210240	10-21-2004	Saint			
	2004/0210304	10-21-2004	Seguin et al.			
	2004/0210307	10-21-2004	Khairkhahan			
	2004/0215333	10-28-2004	Duran			
	2004/0215339	10-28-2004	Drasler et al			
	2004/0225353	11-11-2004	McGuckin, Jr.			
	2004/0225354	11-11-2004	Allen			
	2004/0254636	12-16-2004	Flagle et al.			
	2004/0260394	12-23-2004	Douk et al.			
	2004/0267357	12-30-2004	Allen et al.			
	2005/0010246	01-13-2005	Streeter			
	2005/0010285	01-13-2005	Lambrecht et al.			
	2005/0010287	01-13-2005	Macoviak			
	2005/0015112	01-20-2005	Cohn et al.			

EXAMINER

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PIO-FB-8A80

Receirage 11-of 22 /no/2000 10772101 - GAU: 3774 0/772,101

THE TAR BOT 1/11/2/2010		107
INFORMATION	Atty. Docket No.: P0035365.03	Serial No.: 1
DISCLOSURE	·	
STATEMENT	Applicant(s): Sequin et al.	

Filing Date: 02-04-2004

U.S. PATENT DOCUMENTS

Group: 3774

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date I Appropriate
	2005/0027348	02-03-2005	Case et al.			
	2005/0033398	02-10-2005	Seguin			
	2005/0043790	02-24-2005	Seguin			
	2005/0049692	03-03-2005	Numamoto		1	
	2005/0049696	03-03-2005	Siess			
	2005/0055088	03-10-2005	Liddicoat et al.			
	2005/0060029	03-17-2005	Le			
	2005/0060030	03-17-2005	Lashinski et al.	l		
	2005/0075584	04-07-2005	Cali			
	2005/0075712	04-07-2005	Biancucci		i	
	2005/0075717	04-07-2005	Nguyen		i	
	2005/0075719	04-07-2005	Bergheim			
	2005/0075724	04-07-2005	Svanidze			
	2005/0075727	04-07-2005	Wheatley		ļ -	
	2005/0075730	04-07-2005	Myers			
	2005/0075731	04-07-2005	Artof			
	2005/0085841	04-21-2005	Eversull et al			
	2005/0085842	04-21-2005	Eversull et al			
	2005/0085843	04-21-2005	Opolski et al			
	2005/0085890	04-21-2005	Rasmussen et al			
	2005/0085900	04-21-2005	Case et al.		- 40	
	2005/0096568	05-05-2005	Kato			
	2005/0096692	05-05-2005	Linder et al			
	2005/0096724	05-05-2005	Stenzel et al.			
	2005/0096734	05-05-2005	Majercak et al			
	2005/0096736	05-05-2005	Osse et al.			
	2005/0096738	05-05-2005	Cali et al			
	2005/0107871	05-19-2005	Realyvasquez et al.			-
	2005/0113910	05-26-2005	Paniagua			
	2005/0119688	06-02-2005	Berheim			
	2005/0131438	06-16-2005	Cohn			
XAMINE	R		Date Considered			

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Based on Form PTO-FB-A820 Patent and Trademark Office, U.S. Department of Commerce

(Also form PTO-1449)

	RMATION	Atty. Docket	No.: P0035365.03	Serial	No.: 10/7	72,101
DISC	CLOSURE	٠.				
STA'	TEMENT	Applicant(s)	: Seguin et al.	Group: 3774 S Class SubClass		
		Filing Date:	02-04-2004	Grou	p: 3774	
			NT DOCUMENTS			
xaminer Initial	Document Number	Date	Name	Class	SubClass	Filing Date Appropriate
	2005/0137686	06-23-2005	Salahieh			
	2005/0137688	06-23-2005	Salahieh et al.			
	2005/0137692	06-23-2005	Haug			
	2005/0137695	06-23-2005	Salahieh			
	2005/0137701	06-23-2005	Salahieh			
	2005/0143809	06-30-2005	Salahieh			
	2005/0148997	07-07-2005	Valley et al.			
	2005/0165477	07-28-2005	Anduiza et al.			
	2005/0187616	08-25-2005	Realyvasquez		1	
	2005/0203549	09-15-2005.	Realyvasquez			
	2005/0203605	09-15-2005	Dolan	7		
	2005/0203618	09-15-2005	Sharkawy			
	2005/0222674	10-06-2005	Paine			
	2005/0228495	10-13-2005	Macoviak			
	2005/0234546	10-20-2005	Nugent			
	2005/0240200	10-27-2005	Bergheim			
	2005/0240263	10-27-2005	Fogarty et al.	1		
	2005/0261759	11-24-2005	Lambrecht et al.		— —	
	2005/0283962	12-29-2005	Boudiemline		<u> </u>	
	2006/0004439	01-05-2006	Spenser et al	9		
	2006/0009841	01-12-2006	McGuckin et al.			
	2006/0052867	03-09-2006	Revuelta et al.			
	2006/0058775	03-16-2006	Stevens et al.			
	2006/0089711	04-27-2006	Dolan			
	2006/0100685	05-11-2006	Seguin et al.			
	2006/0116757	06-01-2006	Lashinski et al.	1		
	2006/0135964	06-22-2006	Vesely	1		-
	2006/0142848	06-29-2006	Gabbay	1		
	2006/0167474	07-27-2006.	Bloom et al.	1		
	2006/0178740	08-10-2006	Stacchino et al.	1		
KAMINEI	₹	·-·	Date Considered		1	

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Based on Form PIO-FB-8A20

*Patent and Trachmark Office, U.S. Department of Commerce

*Patent and Trachmark Office, U.S. Department of Commerce

(Also form PTO-1449)

Receipt date: 11/02/2009

	MATION	Atty. Docket	No.: P0035365.03	Serial	No.: 10/77	72,101
DISCI	LOSURE					•
STAT	EMENT	Applicant(s):	Seguin et al.			
		Filing Date: (02-04-2004	Grou	p: 3774	
Examiner	Document Number	U.S. PATEN	NT DOCUMENTS	Class	SubClass	Filing Date If
Initial				Class	SubClass	Appropriate
	2006/0195134	08-31-2006	Crittenden			
	2006/0206192	09-14-2006	Tower et al.			
	2006/0206202	09-14-2006	Bonhoefer et al.			
	2006/0247763	11-02-2006	Slater			
	2006/0259134	11-16-2006	Schwammenthal et al.			
	2006/0259136	11-16-2006	Nguyen et al			
-	2006/0259137	11-16-2006	Artof et al.			
	2006/0265056	11-23-2006	Nguyen et al.			
	2006/0271166	11-30-2006	Thill et al.			
	2006/0271175	11-30-2006	Woolfson et al			
	2006/0276874	12-07-2006	Wilson et al.			
	2006/0282161	12-14-2006	Huynh et al.			
	2007/0005129	01-04-2007	Damm et al.			
	2007/0005131	01-04-2007	Taylor			
	2007/0010878	01-11-2007	Raffiee et al.			
	2007/0016286	01-18-2007	Case et al.			
-	2007/0027518	02-01-2007	Herrmann et al.			
	2007/0027533	02-01-2007	Douk			
	2007/0043435	02-22-2007	Seguin et al.			
	2007/0051377	03-08-2007	Douk et al.			
	2007/0073392	03-29-2007	Heyninck-Janitz		-	
	2007/0078509	04-05-2007	Lotfy et al.	-		
	2007/0078510	04-05-2007	Ryan			
	2007/0088431	04-19-2007	Bourang et al			
	2007/0093869	04-26-2007	Bloom et al.			
	2007/0100439	05-03-2007	Cangialosi			
	2007/0100440	05-03-2007	Figulla			
	2007/0100449	05-03-2007	O'Neil et al.			
	2007/0112415	05-17-2007	Barlett			
	2007/0162102	07-12-2007	Ryan et al.			
	2007/0162113	07-12-2007	Sharkawy et al.			
EXAMINER			Date Considered		1	
			Dane Considered			

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Also form PTO-1449)

Receipt date: 11/02/2009 Page 14 of 22

INFORMATION Atty. Docket No.: P0035365.03 Serial No.: 10/772,101 DISCLOSURE STATEMENT Applicant(s): Seguin et al. Filing Date: 02-04-2004 Group: 3774 U.S. PATENT DOCUMENTS Examiner Document Number Date Class SubClass Filing Date If Initial Appropriate 2007/0185513 08-09-2007 Woolfson et al 2007/0203391 08-03-2007 Rloom et al 2007/0225681 09-27-2007 House 2007/0232898 10-04-2007 Huvnh et al. 2007/0233228 10-04-2007 Eberhardt et al. 2007/0233237 10-04-2007 Krivoruchko 2007/0233238 10-04-2007 Huvnh et al. 2007/0238979 10-11-2007 Huvnh et al. 2007/0239254 10-11-2007 Marchand et al. 2007/0239265 10-11-2007 Birdsall 2007/0239266 10-11-2007 Birdsall 2007/0239269 10-11-2007 Dolan et al. 2007/0239273 10-11-2007 Allen 2007/0244544 10-18-2007 Birdsall et al. 2007/0244545 | 10-18-2007 Birdsall et al. 2007/0244546 10-18-2007 Francis 2007/0244553 | 10-18-2007 Rafiee et al. 2007/0244554 | 10-18-2007 Rafiee et al 2007/0244555 10-18-2007 Rafiee et al. 2007/0244556 10-18-2007 Rafiee et al. 2007/0244557 10-18-2007 Rafiee et al. 2007/0250160 10-25-2007 Rafiee 2007/0255394 11-01-2007 Rvan 2007/0255396 11-01-2007 Douk et al. 2007/0288000 12-13-2007 Bonan 2008/0004696 01-03-2007 Veselv 2008/0009940 01-10-2008 Cribier 2008/0015671 01-17-2008 Bonhoeffer 2008/0021552 01-24-2008 Gabbay 2008/0048656 02-28-2008 Tan 2008/0065001 03-13-2008 Marchand et al. EXAMINER Date Considered

Based on Form PTO-FB-A820 (Also form PTO-1449)

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Receipt date: 11/02/2009 Page 15 of 22

INFORMATION Atty, Docket No.: P0035365.03 Serial No.: 10/772,101 DISCLOSURE STATEMENT Applicant(s): Seguin et al. Filing Date: 02-04-2004 Group: 3774 U.S. PATENT DOCUMENTS Examiner Document Number Name Filing Date If Close SubClose Initial Appropriate 2008/0065206 03-13-2008 Liddicoat 2008/0071361 03-20-2008 Tuval et al 2008/0071362 03-20-2008 Tuval et al 2008/0071363 03-20-2008 Tuval et al 2008/0071366 03-20-2008 Tuval et al 2008/0071368 03-20-2008 Tuval et al 2008/0077234 03-27-2008 Styrc 2008/0082165 04-03-2008 Wilson et al. 2008/0082166 04-03-2008 Styrc et al. 2008/0133003 06-05-2008 Seguin et al. 2008/0140189 06-12-2008 Nguven et al. 2008/0147105 06-19-2008 Wilson et al 2008/0147180 06-19-2008 Ghione et al. 2008/0147181 06-19-2008 Ghione et al 2008/0147182 06-19-2008 Righini et al. 2008/0154356 06-26-2008 Obermiller et al. 2008/0161910 07-03-2008 Revuelta et al. 2008/0161911 07-03-2008 Revuelta et al 2008/0183273 07-31-2008 Mesana et al. 2008/0188928 Salahieh et al. 08-07-2008 2008/0215143 09-04-2008 Seguin et al 2008/0215144 09-04-2008 Ryan et al. 2008/0228254 09-18-2008 Ryan

EXAMINER

Guyenot et al. Straubinger et al. Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rvan

Strvc

Dwork

Ryan et al.

Based on Form PTO-FB-A820 (Also form PTO-1449)

2008/0228263

2008/0234797

2008/0243246

2008/0255651

2008/0255660

2008/0255661

09-18-2008

09-25-2008

10-02-2008

10-16-2008

10-16-2008

10-16-2008

Receipt date: 11/02/2009

Page 16 o	f 22					,
INF	FORMATION	Atty. Docket	No.: P0035365.03	Serial	No.: 10/7	72,101
DI	SCLOSURE			1		
ST	TATEMENT	Applicant(s):	Seguin et al.			
		Filing Date:		Grou	p: 3774	
		U.S. PATE	NT DOCUMENTS			
Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	2008/0262593	10-23-2008	Ryan et al.		1	
	2009/0005863	01-01-2009	Goetz et al.			
	2009/0012600	01-08-2009	Styrc et al.			
	2009/0048656	02-19-2009	Wen			
	2009/0054976	02-26-2009	Tuval et al.			1
	2009/0069886	03-12-2009	Suri et al.			
	2009/0069887	03-12-2009	Righini et al.			
	2009/0069889	03-12-2009	Suri et al.			
	2009/0138079	05-28-2009	Tuval et al.		1	
	2009/0164004	06-25-2009	Cohn		1	
	2009/0171447	07-02-2009	VonSeggesser et al.			
***************************************	2009/0192585	07-30-2009	Bloom et al.			
	2009/0192586	07-30-2009	Tabor et al.			
	2009/0192591	07-30-2009	Ryan et al.			
	2009/0198316	08-06-2009	Laske et al			
	2009/0216310	08-27-2009	Straubinger et al.			
	2009/0216312	08-27-2009	Straubinger et al.		1 -	T
	2009/0216313	08-27-2009	Straubinger et al.			
- 117	2009/0240264	09-24-2009	Tuval et al.			1
	2009/0240320	09-24-2009	Tuval			
	SN 12/250,163	,	Filed 10/13/08			
	SN 61/192,199		Filed 9/15/08		1	
	SN 12/253,858		Filed 10/17/08			1
	SN 12/596,343	~	Filed 4/14/08			
	SN 61/129,170		Filed 6/9/08			
			TENT DOCUMENTS			
Ī	Document Number	Date	Country	Class	SubClass	Translation
					1	Yes No

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Based on Form PTO-FB-A820

09-29-2000 DE

03-21-1984 EP

(Also form PTO-1449)

EXAMINER

100 48 814

0103546

Patent and Trademark Office, U.S. Department of Commerce

Date Considered

Rece 380 170 121/02/2009 10772101 - GAU: 3774

INFORMATION Atty. Docket No.: P0035365.03 Serial No.: 10/772,101

DISCLOSURE STATEMENT Applicant(s): Seguin et al.

Filing Date: 02-04-2004 Group: 3774

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	SubClass	Tran	slation
	1057450 14	101111111				Yes	No
	1057459-A1	06-12-2000	EP				
	1255510	11-13-2002	EP				
	1340473	09-09-2003	EP				
	0937439B1	09-17-2003	EP				
	0819013	06-23-2004	EP				
	2433700	12-2007	GB				
	95/29640	11-09-1995	WO				
	98/14137	04-09-1998	WO				
	00/44313	08-03-2000	wo				T
	00/47136	08-17-2000	wo				
	01/35870	05-25-2001	WO		1	1	_
	01/76510	10-18-2001	WO				T
	02/22054	03-21-2002	WO				
	02/36048	05-10-2002	WO				Т
	02/49540	06-27-2002	WO				T
	03/003949	01-16-2003	wo				\top
	03/003949	01-16-2003	WO		1		\top
	03/011195	02-13-2003	WO		1		
	03/028592	04-10-2003	WO				
	03/030776	04-17-2003	WO		1		†
	04/019811	03-11-2004	WO				1
	04/019825	03-11-2004	WO	,		1	$^{+}$
	04/023980	03-25-2004	WO			1	-
	04/041126	05-21-2004	WO				†
	04/058106	07-15-2004	WO				+
	04/089250	10-21-2004	WO	_		+-	+
	05/004753	01-20-2005	WO	_		1	+-
	05/027790	03-31-2005	WO		1	+	t
	05/046528	05-26-2005	WO			1	+
	08/047354	04-24-2008	WO .	_	 	1	+-
	08/100599	08-21-2008	WO			-	+
	08/150529	12-11-2008	WO		1	+	+
VARATI		1 2000					

EXAMINER

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-820

(Also form PTO-1449)

INFORMATION		Atty. Docket No.: P0035365.03		Seria	Serial No.: 10/772,101			
DISC	LOSURE	Applicant(s): Seguin et al. Filing Date: 02-04-2004			Group: 3774			
STAT	TEMENT							
	,			Grou				
					•			
		OREIGN PAT	TENT DOCUMENT	S				
	Document Number	Date	Country	Class	SubClass		nslatio	
	09/002548	12-31-2008	WO	4	-	Yes	No	
	09/029199	03-05-2009	wo	+	-	+	+-	
	09/042196	04-02-2009	WO		+	-	+-	
-	09/045338	04-09-2009	WO	-			+-	
-	09/061389	05-14-2009	WO	-	 	-	-	
-	09/091509	07-2009	WO			┼	+	
_	09/091309	07-2009	l wo					
						1		
_	-					-	_	
ОТН	IFR DOCUMENT	S (Including A	uthous Title Date 1	D 4	D			
отн	ER DOCUMENT	S (Including A	uthors, Title, Date, 1	Pertinent	Papers, e	tc.)		
отн	Andersen, H.R. et a expandable aortic v	il, "Transluminal i alve and initial res	mplantation of artificial he sults with implantation by	eart valves.	Description	of a ne	ew	
ОТН	Andersen, H.R. et a expandable aortic v pigs." Euro. Heart	il, "Transluminal i alve and initial res J. (1992) 13:704-7	mplantation of artificial he sults with implantation by 708.	eart valves. catheter tec	Description hnique in cl	of a no	est	
ОТН	Andersen, H.R. et a expandable aortic v pigs." Euro. Heart Babaliaros, et al., "	il, "Transluminal i alve and initial res J. (1992) 13:704-7 State of the Art Pe	mplantation of artificial he sults with implantation by 708. recutaneous Intervention fo	eart valves. catheter tec	Description hnique in cla	of a no	est	
ОТН	Andersen, H.R. et a expandable aortic v pigs." Euro. Heart Babaliaros, et al., " Disease: A Review	al, "Transluminal i ralve and initial res J. (1992) 13:704-7 State of the Art Pe of the Current Tec	mplantation of artificial he sults with implantation by 708. recutaneous Intervention for chnologies and Ongoing R	eart valves. catheter tec or the Treat esearch in	Description hnique in cla	of a no	est	
ОТН	Andersen, H.R. et a expandable aortic v pigs." Euro. Heart Babaliaros, et al., " Disease: A Review Heart Valve Replac Bailey, "Percutanec	II, "Transluminal i ralve and initial res J. (1992) 13:704-7 State of the Art Pe of the Current Tect tement and Repair, ous Expandable Pr	mplantation of artificial he sults with implantation by 708. "recutaneous Intervention for chnologies and Ongoing R ," Cardiology 2007; 107:8 osthetic Valves," In: Topo	eart valves. catheter tec or the Treat esearch in 1 7-96.	Description hnique in cla ment of Valv he Field of I	of a no osed ch vular H Percuta	est eart neou	
ОТН	Andersen, H.R. et a expandable aortic v pigs." Euro. Heart Babaliaros, et al., " Disease: A Review Heart Valve Replac Bailey, "Percutanec Cardiology. Volum	II, "Transluminal i alve and initial res J. (1992) 13:704-; State of the Art Pe of the Current Tec- cement and Repair, bus Expandable Prie II. Second editi	mplantation of artificial he sults with implantation by 708. reutaneous Intervention fe chnologies and Ongoing R ," Cardiology 2007; 107.8 osthetic Valves," In: Topc ion. WB Saunders, Philad	eart valves. catheter tec or the Treat esearch in 1 7-96. ol EJ, ed. To elphia. 199	Description hnique in cla ment of Valv he Field of I extbook of Ir 4:1268-1276	of a no osed ch vular H Percuta nterven	eart neou tiona	
OTH	Andersen, H.R. et a expandable aortic v pigs." Euro. Heart Babaliaros, et al., " Disease: A Review Heart Valve Replac Bailey, "Percutanec Cardiology. Volum Block, et al., "Percu	II, "Transluminal i alve and initial res J. (1992) 13:704- State of the Art Pe of the Current Tec- cement and Repair bus Expandable Pr le II. Second editi Itaneous Approach	mplantation of artificial he sults with implantation by 708. "recutaneous Intervention for chnologies and Ongoing R ," Cardiology 2007; 107:8 osthetic Valves," In: Topo	eart valves. catheter tec or the Treat esearch in 1 7-96. ol EJ, ed. To elphia. 199	Description hnique in cla ment of Valv he Field of I extbook of Ir 4:1268-1276	of a no osed ch vular H Percuta nterven	eart neou tiona	
ОТН	Andersen, H.R. et a expandable aortic v pigs." Euro. Heart Babaliaros, et al., " Disease: A Review Heart Valve Replac Bailey, "Percutanec Cardiology. Volum Block, et al., "Percu Vol. 7 (2005) pgs.	il, "Transluminal i alve and initial res J. (1992) 13:704-7 State of the Art Pe of the Current Tecement and Repair. DUS Expandable Price II. Second editi utaneous Approach	mplantation of artificial he sults with implantation by 708. Treutaneous Intervention fe chnologies and Ongoing R. "Cardiology 2007; 107:8 osthetic Valves," In: Topo ion. WB Saunders, Philad hes to Valvular Heart Dise	eart valves. catheter tector the Treat esearch in 1.7-96. bl EJ, ed. To elelphia, 199 ase," Curre	Description hnique in cla ment of Valv he Field of I extbook of Ir 4:1268-1276 nt Cardiolog	of a no osed ch vular H Percuta nterven 5.	eart neou tiona	
ОТН	Andersen, H.R. et a expandable aortic v pigs." Euro. Heart Babaliaros, et al., " Disease: A Review Heart Valve Replac Bailey, "Percutanet Cardiology. Volum Block, et al., "Percu Vol. 7 (2005) pgs. Bonhoeffer, et al, "	II, "Transluminal i alve and initial res J. (1992) 13:704-7 State of the Art Pe of the Current Tec ement and Repair, bus Expandable Pr let II. Second editi traneous Approach 108-113. Percutaneous Inser	mplantation of artificial husults with implantation by 708. recutaneous Intervention fechnologies and Ongoing R. "Cardiology 2007; 107:8 osthetic Valves," In: Topcoon. WB Saunders, Philadhes to Valvular Heart Disertion of the Pulmonary Va	eart valves, catheter tector the Treat esearch in 17-96. of EJ, ed. To lelphia, 199 ase," Curre	Description hnique in cla ment of Valv he Field of I extbook of Ir 4:1268-1276 nt Cardiolog	of a no osed ch vular H Percuta nterven 5.	eart neou tiona	
ОТН	Andersen, H.R. et a expandable aortic v pigs." Euro. Heart Babaliaros, et al., Disease: A Review Heart Valve Replad Bailey, "Percutanec Cardiology. Volum Block, et al., "Percu Vol. 7 (205) pgs. Bonhoeffer, et al., "I	II, "Transluminal i alve and initial res J. (1992) 13:704-7 State of the Art Pe of the Current Tec ement and Repair, bus Expandable Pre le II. Second editi utaneous Approach 108-113. Percutaneous Inse ley (United States) Percutaneous Mitr	mplantation of artificial h youts with implantation by 708. Trutaneous Intervention for hinologies and Ongoing R ". Cardiology 2007; 1073 you sothetic Valves," in: 707 you will be will be nest to Valvular Heart Dise trion of the Pulmonary Va May 15, 2002, pgs. 166 at Valve Dilation with the at Valve Pilation with the at Valv	eart valves. catheter tector the Treat esearch in 17-96. of EJ, ed. To elphia, 199 ase," Curre live," Journal- 4-9.	Description had been described by the Field of Fextbook of Ir 4:1268-1276 at Cardiolog al of the America K System.	of a no osed ch vular H Percuta nterven 5. gy Repo	eart neou tiona	
OTH	Andersen, H.R. et e expandable aortic v pigs." Euro. Heart Babaliaros, et al., " Disease: A Review Heart Valve Replate Bailey, "Percutanet Cardiology. Volum Block, et al., "Percu Vol. 7 (2005) pgs. Bonhoeffer, et al, " College of Cardiolo Bonhoeffer, et al, " Catteterization and	II, "Transluminal i I alve and initial res J. (1992) 13:704-7. State of the Arr Perement and Repair use Expandable Presented edition of the Current Tector of the Current Propose Expandable Presented edition of the II. Second e	mplantation of artificial husults with implantation by 708. recutaneous Intervention fechnologies and Ongoing R. "Cardiology 2007; 107:8 osthetic Valves," In: Topcoon. WB Saunders, Philadhes to Valvular Heart Disertion of the Pulmonary Va	eart valves. catheter tector the Treat esearch in 17-96. bl EJ, ed. To elelphia, 199 ase," Curre lve," Journ. 1-9. the Multi-Tr. mal of the S	Description had been described by the Field of Fextbook of Ir 4:1268-1276 at Cardiolog al of the America K System.	of a no osed ch vular H Percuta nterven 5. gy Repo	eart neou	

EXAMINER

Boudjemline, et al, "Images in Cardiovascular Medicine. Percutaneous Aortic Valve Replacement Date Considered

Bonhoeffer, et al, "Technique and Results of Percutaneous Mitral Valvuloplasty With the Multi-Track System," Journal of Interventional Cardiology (United States), 200, pgs. 263-268. Bonhoeffer, et al, "Transcatheter Implantation of a Bovine Valve in Pulmonary Position: A Lamb

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Study," Circulation (United States), August 15, 2000, pgs. 813-6.

in Animals," Circulation (United States), March 16, 2004, 109, pg. e161.

Based on Form PTO-FB-A820 (Also form PTO-1449)

2000, pgs. 1403-5.

age 17 01 22					
INFOR	MATION	Atty. Docket No.: P0035365.03	Serial No.: 10/772,101		
DISCI	LOSURE				
STATEMENT		Applicant(s): Seguin et al.			
		Filing Date: 02-04-2004	Group: 3774		
OTHI	ER DOCUMENT	S (Including Authors, Title, Date, Pe	ertinent Papers, etc.)		
4	Boudjemline, et al	"Is Percutaneous Implantation of a Bovine Ve	nous Valve in the Inferior Vena		
Cava a Reliable Te		echnique to Treat Chronic Venous Insufficiency Syndrome?" Medical Science cional Medical Journal of Experimental and Clinical Research (Poland), March			
	2004, pgs. BR61-6	i.	iicai Research (Poland), March		
	Boudjemline, et al	"Off-pump Replacement of the Pulmonary Va	Ive in Large Right Ventricular		
	Outflow Tracts: A	Hybrid Approach," Journal of Thoracic and Ca	ardiovascular Surgery (United		
	States), April 2005	, pgs. 831-7. "Percutaneous Aortic Valve Replacement: W	THAT COME ON THE CONTRACTOR		
	Cardiac Society) (England), December 2001, pgs. 705-6.	III We Get There?" Heart (British		
	Boudjemline, et al.	"Percutaneous Closure of a Paravalvular Mitra	al Regurgitation with Amplatzer		
	and Coil Prostheses," Archives des Maladies du Coeur Et Des Vaisseaux (France), May 2002, pgs.				
	483-6.	"Parautanagus Implentation of Diele : 137	T 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		
	Boudjemline, et al, "Percutaneous Implantation of a Biological Valve in the Aorta to Treat Aortic Valve Insufficiency – A Sheep Study," Medical Science Monitor – International Medical Journal of				
	Experimental and Clinical Research (Poland), April 2002, pgs. BR113-6.				
11	Boudjemline, et al, "Percutaneous Implantation of a Biological Valve in Aortic Position:				
	Preliminary Results in a Sheep Study," European Heart Journal 22, September 2001, pg. 630.				
	Boudjemline, et al, "Percutaneous Implantation of a Valve in the Descending Aorta in Lambs," European Heart Journal (England), July 2002, pgs. 1045-9.				
	Boudjemline, et al,	"Percutaneous Pulmonary Valve Replacement	in a Large Right Ventricular		
	Outflow Tract: An	Experimental Study," Journal of the American	College of Cardiology (United		
	States), March 17,	2004, pgs. 1082-7.			
	Cardiovascular Sur	"Percutaneous Valve Insertion: A New Approgery (United States), March 2003, pgs. 741-2.			
1 1	Boudjemline, et al,	"Stent Implantation Combined with a Valve R	eplacement to Treat Degenerated		
1.1	Right Ventricle to 1 2001, pg. 355.	Pulmonary Artery Prosthetic Conduits," Europe	ean Heart Journal 22, September		
		"Steps Toward Percutaneous Aortic Valve Re	Placement " Circulation (United		
	States), February 1:	2, 2002, pgs. 775-8.			
	Boudjemline, et al,	"The Percutaneous Implantable Heart Valve,"	Progress in Pediatric Cardiology		
	(Ireland), 2001, pg	i. 89-93. "Transcatheter Reconstruction of the Right He			
	(England), June 200	13. ngs 308-11.			
	Coats, et al, "The P	otential Impact of Percutaneous Pulmonary Va	lve Stent Implantation on Right		
	ventricular Outriov	/ Tract Re-Intervention," European Journal of	Cardio-Thoracic Surgery		
	(England), April 20	05, pgs. 536-43.			
	Calcific Aortic Ster	ercutaneous Transcatheter Implantation of an Aosis: First Human Case Description," Circulat	ion (2002) 3006-3008		
	Davidson et al., "Pe	rcutaneous therapies for valvular heart disease	" Cardiovascular Pathology 15		
	(2006) 123-129.				
	Edwards TM nercutar	applications of percutaneous aortic valve replace	ment: experience with the Criber-		
	A8.	neous heart valve," EuroIntervention Suppleme	nts (2006), 1 (Supplement A) A3-		
EXAMINER		Date Considered			

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820 (Also form PTO-1449)

20 01 22			
INFORMATION	Atty. Docket No.: P0035365.03	Serial No.: 10/772,101	
DISCLOSURE			
STATEMENT	Applicant(s): Seguin et al.		
	Filing Date: 02-04-2004	Group: 3774	

	OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)
	Huber, et al., "Do Valved Stents Compromise Coronary Flow?" Eur. J. Cardiothorac. Surg. 2004;25:754-759.
	Khambadkone, "Nonsurgical Pulmonary Valve Replacement: Why, When, and How?" Catheterization and Cardiovascular Interventions – Official Journal of the Society for Cardiac Angiography & Interventions (United States), July 2004, pgs. 4019.
	Khambadkone, et al, "Percutaneous Implantation of Pulmonary Valves," Expert Review of Cardiovascular Therapy (England), Nov. 2003, pgs 541-8.
	Khambadkone, et al, "Percutaneous Pulmonary Valve Implantation: Early and Medium Term Results," Circulation 108 (17 Supplement), October 28, 2003, pg. IV-375
	Khambadkone, et al, "Percutaneous Pulmonary Valve Implantation: Impact of Morphology on Case Selection," Circulation 108 (17 Supplement), October 28, 2003, pg. IV-642-IV-643.
	Lutter, et al, "Percutaneous Aortic Valve Replacement: An Experimental Study. I. Studies on Implantation," The Journal of Thoracic and Cardiovascular Surgery, April 2002, pgs. 768-76.
	Lutter, et al, "Percutaneous Valve Replacement: Current State and Future Prospects," Annals of Thoracic Surgery (Netherlands), December 2004, pgs. 2199-206.
	Medtech Insight, "New Frontiers in Heart Valve Disease," Vol. 7, No. 8 (2005).
	Palacios, "Percutaneous Valve Replacement and Repair, Fiction or Reality?" Journal of American College of Cardiology, Vol. 44, No. 8 (2004) pgs. 1662-3.
	Ruiz, "Transcathether Aortic Valve Implantation and Mitral Valve Repair: State of the Art," Pediatric Cardiology, Vol 26, No. 3 (2005).
	Saliba, et al, "Treatment of Obstructions of Prosthetic Conduits by Percutaneous Implantation of Stents," Archives des Maldies du Coeur et des Vaisseaux (France), 1999, pgs. 591-596.
	Webb, et al., "Percutaneous Aortic Valve Implantation Retrograde from the Femoral Artery," Circulation (2006), 113;842-850.
	Yonga, et al, "Effect of Percutaneous Balloon Mitral Valvotomy on Pulmonary Venous Flow in Severe Mitral Stenosis," East African Medical Journal (Kenya), January 1999, pgs. 28-30.
	Yonga, et al, "Percutaneous Balloon Mitral Valvotomy: Initial Experience in Nairobi Using a New Multi-Track Catheter System," East African Medical Journal (Kenya), February 1999, pgs. 71-4.
	Yonga, et al, "Percutaneous Transluminal Balloon Valvuloplasty for Pulmonary Valve Stenosis: Report on Six Cases," East African Medical Journal (Kenya), April 1994, pgs. 232-5.
	Yonga, et al, "Percutaneous Transvenous Mitral Commissurotomy in Juvenile Mitral Stenosis," East African Medical Journal (Kenya), April 2003, pgs. 172-4.
	Commeau et al, "Percutaneous balloon dilatation of calcific aortic valve stenosis: anatomical and haemodynamic evaluation," 1988, British Heart Journal, 59:227-238.
	Stassano et al., "Mid-term results of the valve-on-valve technique for bioprosthetic failure," Eur. J. Cardiothorac. Surg. 2000; 18:453-457.
	Expert report of Dr. Nigel Buller, dated January 12, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.
	Expert report of Dr. Nigel Buller, non-confidential annex – infringement, dated January 12, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.
TOSE A B CO	177

EXAMINER.

Date Considered

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.S./

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Based on Form PTO-FB-A820 Patent and Trademark Office, U.S. Department of Commerce

(Also form PTO-1449)

2101 22		
INFORMATION	Atty. Docket No.: P0035365.03	Serial No.: 10/772,101
DISCLOSURE		
STATEMENT	Applicant(s): Seguin et al.	
	Filing Date: 02-04-2004	Group: 3774

	Filing Date: 02-04-2004	Group: 3774
ОТНЕ	R DOCUMENTS (Including Authors, Title, Date, Pe	ertinent Papers, etc.)
1	Expert report of Dr. Rodolfo Quijano, dated January 9, 2009, Edv	wards' United Kingdom action for
	invalidity, Claim No. HC 08CO0934.	
1 1	First Expert report of Prof. David Williams, dated January 12, 20	09, Edwards' United Kingdom
	action for invalidity, Claim No. HC 08CO0934.	- ,,
	First Expert report of Prof. Martin Rothman, dated January 12, 20	009, Edwards' United Kingdom
	action for invalidity, Claim No. HC 08CO0934.	
	Fourth Expert report of Prof. Martin Rothman, dated April 22, 20	09, Edwards' United Kingdom
	action for invalidity, Claim No. HC 08CO0934.	
	Second Expert report of Dr. Nigel Buller, dated February 25, 200	9, Edwards' United Kingdom
	action for invalidity, Claim No. HC 08CO0934.	
	Second Expert report of Dr. Rodolfo Quijano, dated February 26,	, 2009, Edwards' United Kingdom
	action for invalidity, Claim No. HC 08CO0934.	
	Second Expert report of Prof. David Williams, dated February 5,	2009, Edwards' United Kingdom
	action for invalidity, Claim No. HC 08CO0934.	
	Second Expert report of Prof. Martin Rothman, dated February 5,	, 2009, Edwards' United Kingdom
	action for invalidity, Claim No. HC 08CO0934.	
	Third Expert report of Dr. Nigel Buller, dated April 21, 2009, Ed	wards' United Kingdom action for
	invalidity, Claim No. HC 08CO0934.	
	Third Expert report of Dr. Rudolfo Quijano, dated April 27, 2009	, Edwards' United Kingdom
	action for invalidity, Claim No. HC 08CO0934.	
	Third Expert report of Prof. David Williams, dated April 22, 200	9, Edwards' United Kingdom
	action for invalidity, Claim No. HC 08CO0934.	
1 1	Pavcnik et al., "Aortic and venous valve for percutaneous insertio	n," Min. Invas. Ther. & Allied
	Techol. 2000, Vol. 9, pgs. 287-292.	
1 1	First Expert report of Dr. Nigel Person Buller (30 pages), Coreva	lve, Inc. v. Edwards Lifesciences
	AG and Edwards Lifesciences PVT, Inc., High Court of Justice -	Chancery Division Patents Court,
-+	United Kingdom, Case No. HC-07-C01243.	
	Second Expert report of Dr. Nigel Person Buller (5 pages), Corev	alve, Inc. v. Edwards Lifesciences
	AG and Edwards Lifesciences PVT, Inc., High Court of Justice -	Chancery Division Patents Court,
	United Kingdom, Case No. HC-07-C01243.	
	Drawing by Dr. Buller (Edwards Expert) of his interpretation of the	he "higher stent" referred to at
1 1	column 8, lines 13-222 of Andersen EP 592410B1 (1 page), Core	valve, Inc. v. Edwards
	Lifesciences AG and Edwards Lifesciences PVT, Inc., High Court Patents Court, United Kingdom, Case No. HC-07-C01243.	of Justice - Chancery Division
EXAMINER		
EARMINER	Date Considered	

| Date Considered

(Also form PTO-1449)

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Based on Form PTO-FB-A820

2 01 22				
NFORMATION	Atty. Docket No.: P0035365.03	Serial No.: 10/772,101		
DISCLOSURE				
STATEMENT	Applicant(s): Seguin et al.	Applicant(s): Seguin et al.		
	Filing Date: 02-04-2004	Group: 3774		
OTHER DOCUMEN	TS (Including Authors, Title, Date, 1	Pertinent Papers, etc.)		
Drawing by Dr.	Buller (Edwards Expert) of "higher stent" on the	suller (Edwards Expert) of "higher stent" on the schematic representation of the		
aortic valve area	set out in Figure 2 of Rothman's first expert re	eport (1 page), Corevalve, Inc. v.		
	ences AG and Edwards Lifesciences PVT, Inc.,			
	Court, United Kingdom, Case No. HC-07-C01			
	ort of Professor John R. Pepper (20 pages), Cor			
	s Lifesciences PVT, Inc., High Court of Justice	 Chancery Division Patents Court, 		
	n, Case No. HC-07-C01243.			
	eport of Professor John R. Pepper (3 pages), Co			
	and Edwards Lifesciences PVT, Inc., High Co	urt of Justice - Chancery Division		
	nited Kingdom, Case No. HC-07-C01243.			
	ort of Dr. Anthony C. Lunn (7 pages), Corevaly			
	fesciences PVT, Inc., High Court of Justice - C	hancery Division Patents Court,		
	n, Case No. HC-07-C01243.			
First witness sta	attement of Stanton Rowe (9 pages), Corevalve,	Inc. v. Edwards Lifesciences AG and		
Vinadom Cose	ences PVT, Inc., High Court of Justice – Chanc No. HC-07-C01243.	ery Division Patents Court, United		
	statement of Stanton Rowe (3 pages), Corevals	in Iva v. Edwards Lifessianass AC		
and Edwards Li	fesciences PVT, Inc., High Court of Justice – C	hancem Division Potents Court		
United Kingdon	n, Case No. HC-07-C01243.	nancery Division Fatents Court,		
	ing Alain Cribier, Martin Leon, Stan Rabinovic	h and Stanton Rowe (16 names)		
Corevalve Inc.	v. Edwards Lifesciences AG and Edwards Lifes	ciances PVT Inc. High Court of		
Justice - Chance	ery Division Patents Court, United Kingdom, C	ase No. HC-07-C01243		
First Expert repo	ort of Professor Martin Terry Rothman (75 page	es). Corevalve. Inc. v. Edwards		
Lifesciences AG	and Edwards Lifesciences PVT, Inc., High Con	urt of Justice - Chancery Division		
Patents Court, U	nited Kingdom, Case No. HC-07-C01243.			
	oort of Professor Martin Terry Rothman (9 page	es). Corevalve, Inc. v. Edwards		
Lifesciences AG	and Edwards Lifesciences PVT, Inc., High Co.	art of Justice - Chancery Division		
Patents Court, U	nited Kingdom, Case No. HC-07-C01243.	•		
First Expert repo	ort of Richard A. Hillstead (41 pages), Corevals	ve, Inc. v. Edwards Lifesciences AG		
and Edwards Li	fesciences PVT, Inc., High Court of Justice - Cl	hancery Division Patents Court,		
United Kingdom	, Case No. HC-07-C01243.			
Reply Expert rep	ort of Richard A. Hillstead (9 pages), Coreval	ve, Inc. v. Edwards Lifesciences AG		
and Edwards Lij	sciences PVT, Inc., High Court of Justice - Chancery Division Patents Court,			
United Kingdom	, Case No. HC-07-C01243.			

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820 (Also form PTO-1449)

/Ann Schillinger/

EXAMINER

Patent and Trademark Office, U.S. Department of Commerce

11/05/2009

Date Considered